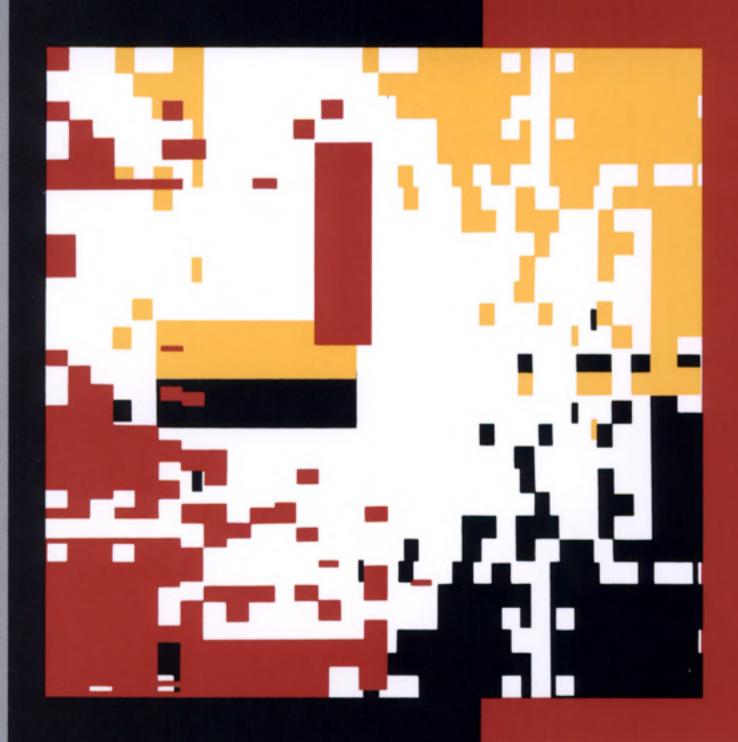
# FOUNDATIONS OF ARTIFICIAL INTELLIGENCE



EDITED BY DAVID KIRSH

MIT/ELSEVIER

## Foundations of Artificial Intelligence

edited by David Kirsh

A Bradford Book The MIT Press Cambridge, Massachusetts London, England First MIT Press edition, 1992

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Reprinted from Artificial Intelligence: An International Journal, Volume 47, Numbers 1–3, 1991. The MIT Press has exclusive license to sell this English-language book edition throughout the world.

Printed and bound in the Netherlands. This book is printed on acid-free paper.

Library of Congress Cataloging-in-Publication Data

Foundations of artificial intelligence / edited by David Kirsh. - 1st MIT Press ed.

- p. cm. (Special issues of Artificial intelligence, an international journal)
- "A Bradford Book."
  "Reprinted from Artificial intelligence, an international journal, volume 47, numbers 1–3, 1991"—T.n. verso.
- Includes bibliographical information and index.
- ISBN 0-262-61075-2
- Artificial intelligence. I. Kirsh, David. II. Series. O335.5 F68, 1992.
- Q333.5.F68 1992 006.3—dc20

91-18104 CIP

#### Contents

D. KIRSH

1 Foreword

D. KIRSH

3 Foundations of AI: the big issues

N.J. NILSSON

31 Logic and artificial intelligence

L. BIRNBAUM

57 Rigor mortis: a response to Nilsson's "Logic and artificial intelligence" C. HEWITT

79 Open Information Systems Semantics for Distributed Artificial Intelligence

L. GASSER

107 Social conceptions of knowledge and action: DAI foundations and open systems R.A. BROOKS

139 Intelligence without representation

D KIRSH 161 Today the earwig, tomorrow man?

D.B. LENAT AND E.A. FEIGENBAUM

185 On the thresholds of knowledge

B.C. SMITH 251 The owl and the electric encylopedia

P.S. ROSENBLOOM, J.E. LAIRD, A. NEWELL AND R. MCCARL

289 A preliminary analysis of the Soar architecture as a basis for general intelligence

D.A. NORMAN

327 Approaches to the study of intelligence

347 INDEX

#### Foreword

The intent of this special issue on the Foundations of Al is to critically evaluate the fundamental assumptions underpinning the dominant approaches to Al. Theorists historically associated with each position were originally invited to a workshop at Endicort House, MT, in 1987. They were asked to write a paper identifying the basic tenets of their position, to discuss the write a paper identifying the basic tenets of their position, to discuss the opportunity of the proposition of the position where the proposition of the position were similarly asked to for problems and tasks in which the approach succeeds, to explain where the power resides in the method or approach, and then to discuss its expeal in the provided provided from the discussion of the position were similarly asked to the position were similarly asked to thought the method approach works and why it falls. Discussions and prevention of the position were the position were sentations there formed the basis formed th

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# Foundations of AI: the big issues\*

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Received December 1989

Revised October 1990

#### Abstract

Kirsh, D., Foundations of AI: the big issues, Artificial Intelligence 47 (1991) 3-30.

The objective of research in the foundations of Al is to explore used basic questions as: What is a theory in Al? What are them ost abstract assumption underlying the competing visions of intelligence." What are the basic arguments for and against each assumption? In the case of telescent for foundational onesse. It Orea Al is the subject of exceptualization described in the control of the con

#### 1. Introduction

In AI, to date, there has been little discussion, and even less agreement, or methodology: What is a theory in AI? An architecture? An acount of knowledge? Can a theory be tested by studying performance in abstract, simulated environments, or is it necessary to hook up implementations to catcula visual input and actual motor output? Is there on level of analysis or a small set of problems which ought to be pursued first? For instance, should we try to identify the knowledge necessary for a skill before we concern ourselves with issues of representation and control? Is complexity theory relevant to the central problems? of the field? Indeed, what are the central problems?

The objective of research in the foundations of AI is to address some of

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<sup>\*</sup>Support for this work has been provided in part by the Army Institute for Research in Management, Information and Communication Systems contract number DAKF11-88-C-0045.

D. Kirsh

these basic questions of method, theory and orientation. It is to self-consciously reappraise what AI is all about.

The pursuit of AI does not occur in isolation. Fields such as philosophy, linguistics, psychophysics and theoretical computer science have exercised a historical influence over the field and today there is as much dialogue as ever, particularly with the new field of cognitive science. One consequence, of dialogue is that criticisms of positions held in one discipline frequently apply to positions held in other disciplines.

In this first essay, my objective is to bring together a variety of these arguments both for and against the dominant research programs of AI.

It is impossible, of course, to explore carefully all of these arguments in a single paper. The majority, in any event, are discussed in the papers in this volume, and it is not my intent to repeat them here. It may be of use, though, to stand back and consider several of the most abstract assumptions underlying the competing visions of intelligence. These assumptions—whether explicitly named by theorists or not—identify issues which have become focal points of debate and serve as dividine lines of nositions.

Of these, five stand out as particularly fundamental:

- Pre-eminence of knowledge and conceptualization: Intelligence that transcends insect-level intelligence requires declarative knowledge and some form of reasoning-like computation—call this cognition.' Core AI is the study of the conceptualizations of the world presupposed and used by intelligent systems during coordinion.
- Disembodiment: Cognition and the knowledge it presupposes can be studied largely in abstraction from the details of perception and motor control.
- Kinematics of cognition are language-like: It is possible to describe the trajectory of knowledge states or informational states created during cognition using a vocabulary very much like English or some regimented logico-mathematical version of English.
- Learning can be added later: The kinematics of cognition and the domain knowledge needed for cognition can be studied separately from the study of concept learning, psychological development, and availationary changes.
- of concept learning, psychological development, and evolutionary change.

   Uniform architecture: There is a single architecture underlying virtually all cognition.

Different research programs are based, more or less, on an admixture of these assumptions plus corollaries.

By cognition I do not mean to take a stand on what the proper subject matter of cognitive ciscenes is. The term is meant to refer to computational processes that resemble both reasoning in a classical sense and computational processes that are more "peripheral" than reasoning, such as a language recognition and object identification, where the representations are not about the entities and relations we have common sense terms for, but which may still usefully be construed as rules operating on representations.

Logicism [15,32] as typified by formal theorists of the commonsense world, formal theorists of language and formal theorists of belief [17,24], presuppose almost all of these assumptions. Logicism, as we know it today, is predicated on the pre-eminence of reasoning-like processes and conceptualization, the legitimacy of disembodied analysis, on interpreting rational kinematics as propositional, and the possibility of separating thought and learning. It remains neutral on the uniformity of the underlying architectural

Other research progams make a virtue of denying one or more of these assumptions. Son; [30, 35] for instance, differs from logicism in according learning a vital role in the basic theory and in assuming that all of cognition can be explained as processes occurring in a single uniform architecture. Rational kinematics in Soar are virtually propositional but differ slightly in containing control markers—preferences—to bias transitions. In other respects, Soar shares with logicism the assumption that reasoning-like processes and conceptualization are central, and that it is methodologically acceptable to treat central processes in abstraction from perceptual and motor processes.

Connectionists, [27,38] by contrast, deny that reasoning-like processes are pre-eminent in cognition, that core Al is the study of the concepts underpinning domain understanding, and that rational kinematics is language-like. Yet like Soar, connectionists emphasize the centrality of learning in the study of cognition, and like logicists they remain agnostic about the uniformity of the underlying architecture. They are divided on the assumption of disembodiment

Moboticists [3] take the most extreme stance and deny reasoning, conceptualization, rational kinematics, disembodiment, uniformity of architecture and the separability of knowledge and learning (more precisely evolution). Part of what is attractive in the mobotics approach is precisely its radicalness.

Similar profiles can be offered for Lenat and Feigenbaum's position [23], Minsky's society of mind theory [28], Schank's anti-formalist approach [40, 41] and Hewitt and Gasser's account [12, 14] of much of distributed AI research.

These five issues by no means exhaust the foundational issues posed by the various approaches. But each does, in my opinion, lie at the center of a cluster of deep questions.

In what follows I will explore arguments for and against each of these assumptions. I will explain what each of them implies and why they have been seen as right or wrong.

#### 2. Are knowledge and conceptualization at the heart of AI?

Here is one answer to the question: what is a theory in AI?

A theory in AI is a specification of the knowledge underpinning a cognitive skill. A cognitive skill is the information-based control mechanism regulating performance in some domain. It is meant to cover the gamut of informationsensitive activities such as problem solving, language use, decision making, routine activity, perception and some elements of motor control.

In accepting the priority of knowledge level theories, one is not committed to supposing that knowledge is replicitly encoded declaratively and deployed in explicitly inferential processes, although frequently knowledge will be. One commitment is that knowledge and conceptualization lie at the heart of all that a major goal of the field is to discover the basic knowledge units of continuous for inferential processes.

What are these knowledge units? In the case of qualitative theories of the commonsense world, and in the case of Lenafs (°CV project [21, 23], these basic knowledge units are the conceptual units of consensus realito—the core concepts underprining "the millions of things that we all know and that we assume everyone clee knows" [21, p. 4]. Not surprisingly, these concepts are forth familiar claes with familiar names—though sometimes they will be theoretical ideas, having a technical meaning internal to the theory. The continuate, in Orc., in addition to terms for tables, sait, Africa, and number—obvious elements of consensual reality—there are technical terms such as temporal subabstraction, temporal projectability, partition, change predicate temporal subabstraction, temporal projectability, partition, change predicate elements of consensual reality because of the difficulty of constructing an adequate account without them.

In the case of linguistics and higher vision these basic knowledge units tend more generally to be about theoretical entities. Only occasionally will there be pre-existing terms in English for them. Thus, noun phrase, sphere, pyramid and other shapes are commonsense concepts having familiar English names, but governing domain, animate movements, causal launchings<sup>2</sup> and most shape trepresentations are, for most people, novel ideas that are not part of common parlance. The basic knowledge units of cognition—the conceptualizations and theoretical.

The basic idea that knowledge and conceptualization lie at the heart of AI stems from the seductive view that cognition is inference. Intelligent skills, an old truism of AI runs, are composed of two parts: a declarative knowledge base and an inference engine.

The inference engine is relatively uncomplicated; it is a domain-independent program that takes as input a set of statements about the current situation plus a frament of the declarative knowledge base, it produces as output a stream of

<sup>&</sup>lt;sup>2</sup> It is widely argued in the developmental literature that one of the earliest and visually most robust cues for distinguishing animate creatures like dogs and snakes from non-animate objects like toy dogs, and cars, which may also move, are cues about body part trajectories, and original causation [25].

D. Kirsh

8

system uses some explicit declaratives, the apparatus of declarative representation must be in place, making it possible, when time permits, to control action through run time inference.

Rosenschein et al. [37] see the inflexibility of knowledge compilation as far less constraining. On their view, a significant range of tasks connected with adaptive response to the environment can be compiled. To determine the appropriate set of reactions to build into a machine, a designer performs the relevant knowledge level logical reasoning at compile time so that the results will be available at run time. Again, it is an empirical matter how many cognitive skills can be completely automatized in this fashion. But the research morearm of situated automata is to usu the envelope as far as nossible.

A similar line of thought applies to the work of Chomsky and Montague. When they claim to be offering a theory about the knowledge deployed in parsing and speech production it does not follow they require on-line inference. By offering their theories in the format of "here's the knowledge base use the obvious inference engine" they establish the effectiveness of their knowledge specification: it is a condition on their theory that when conjoined with the obvious inference engine it should generate all and only syntactic strings (or some specified fragment of that set). That is why their theories are called generative. But to date no one has offered a satisfactory account of how the theory is to be efficiently implemented. Parsing may involve considerable inference, but equally it may consist of highly automated retrieval processes where structures or fragments of structures previously found acceptable are recognized. To be sure, some theorists say that recognition is itself a type of inference: that recognizing a string of words as an NP involves inference. Hence even parsing construed as constraint satisfaction or as schema retrieval (instantiation) and so forth, is itself inferential at bottom. But this is not the dominant view. Whatever the answer, though, there are no a priori grounds for assuming that statements of linguistic principle are encoded explicitly in declaratives and operated on by explicit inference rules.

Whether knowledge be explicit or compiled, the view that cognition is inference and that theorizing at the knowledge level is at least the starting place of scientific AI is endorsed by a large fragment of the community.

Opposition: In stark contrast is the position held by Rod Brooks. According to Brooks [3] a theory in Al is not an account of the knowledge units of cognition. Most tasks that seem to involve considerable world knowledge may yet be achievable without appeal to declaratives, to concepts, or to basic knowledge units, even at compile time. Knowledge level theories, he argues, too often chase fictions. If Al's overarching goal is to understand intelligent control of action, then if it turns ont to be true, as Brooks believes it will, that most intelligent behaviour can be produced by a system of carefully tuned control systems interconnected in a simple but often at hoc manner, then why

10 D. Kirsh

a concept. We cannot just assume that a machine which has a structure in memory that corresponds in name to a structure in the designer's conceptualization is sufficient for grasping the concept. The structure must play a role in a network of abilities; it must confer on the agent certain causal powers [1]. Some of these powers involve reasoning: being able to use the structure appropriately in deduction, induction and perhaps abilaction. But other powers involve perception and action—hooking up the structure via causal mechanisms to the outside world.

Logists are not unmindful of the need to explain what it is for a system to understand a proposition, or to grasp the concepts which constitute propositions. But the party line is that this job can be pursued independently from the designer's main task of inventing conceptualizations. The two activities—inventing conceptualizations and grounding concepts—are modular. Hence the grounding issue has not historically been treated as possing a challenge that might overturn the logisist program.

A similar belief in modularizing the theorist's job is shared by Lenat and Feigenbaum. They see the paramount task of Al to be to discovere the conceptual knowledge underpinning cognitive skills and consensus reality. This leaves open the question of what exactly grasping a basic conceptual knowledge unit of consensus reality amounts to. There certainly is a story of grounding to be told, but creatures with different perceptual-motor endowments will each require its own story. So why not regard the problem of conceptualization to be independent from the problem of grounding concepts?

This assumption of modularization—of disembodiment—is the core concern of Brian Smith [42] in his reply to Lenat and Feigenbaum. It pertains, as well, to worries Birnbaum expresses about model theoretic semantics [1]. Both Birnbaum and Smith emphasize that if knowing a concept, or if having knowledge about a particular conceptualization requires a machine to have a large background of behavioural, perceptual and even reasoning skills, then the greater part of the AI task may reside in understanding how concepts can refer, or how they can be used in reasoning, perceiving, acting, rather than in just identifying those concepts or stating their axiomatic relations.

Accordingly, it is time to explore what the logicist's conception of a concept that logicists and Lenat and Feigenbaum—by assuming they can provide a machine with symbols that are not grounded and so not truly grasped—are omitting an absolutely major part of the Al problem.

#### 2.1.1. The logicist concept of concept

A concept, on anyone's view, is a modular component of knowledge. If we say John knows the pen is on the desk, and we mean this to imply that John grasps the fact of there being a particular pen on a particular desk, we assume that he has distinct concepts for pen, desk and on. We assume this because we

Someting to be a pen, a desk, not someting the someting to be a pen, a desk, and the someting the sharing the sharing the sharing the sharing the sharing the space to this depart to substitute other appropriate concepts for x and y in (B p m p y), (D n x d n k l) and B in (B p m d n k l). If so has not be someting to the sharing the

Now the basic premiss driving the logicist program, as well as Lenat and Feigenbaum's search for the underpinnings of consensus reality, is that to understand an agent's knowledge we must discover the structured system of concepts underpinning its skills. This structure can be discovered without explaining all that is involved in having the referential apparatus presupposed by concepts because it shows up in a number of purely disembodied, rational processes. If concepts and conceptual schemes seem to play enough of an explanatory role at the disembodied level to be seen as robust entities, then we can study their structure without concern for their grounding.

What then are these disembedied processes which can be explained so nicely by disembedied concepts? In the end we may decide that these do not sufficiently ground concepts. But it is important to note their variety. For too often arguments about grounding do not adequately attend to the range of phenomena explained by assuming modular concepts.

Inferential abilitie: First, and most obviously, is the capacity of an agent to draw inferences. For instance, given the premises that the pen is on the desk, that the pen is matte black, then a knowledgeable agent ought to be able to infer that the matte black pen is on the desk. It does not not again that actual agents will not bother to draw this inference. But it is hard for us to imagine that they might have a grasp of what pens are etc, and not be able to draw it. Inferences are permissive not obligatory. Thus, as long as it makes sense to seve agents to be sometimed raisway inferences about a domain, or performing reason-like operations, it makes sense to suppose they have a network of concents which structures their knowledge.

<sup>3</sup> The much discussed attribute of systematicity which Fodor and Pylyshyn cite in [11] as essential to symbolic reasoning and antithetical to the spirit of much connectionist work to date, is a version of this generality constraint on concepts. A few years earlier, Gareth Evans put the matter like this:

2 D. Kirsh

It must be appreciated, however, that when we say that John has the concepts of pen and desk we do not mean that John is able to draw inferences about pens and desk in only a few contexts. He must display his grasp of the terms extensively, otherwise we cannot be sure that he mean deak by "desk" rather than worden object, for instance. For this reason, if we attribute to a numbrine a grasp of a single concept we are obliged to attribute it as play the word of the desired pens of th

Inferential breadth is only one of the rational capacities that is explained by assuming intelligent agents have concepts. Further capacities include identification and visual attention, learning, knowledge decay and portability of knowledge.

Knowledge and perception Kant once said, sensation without conception is billind. What he mant is that I do not know what I am seeing, if I have not know what I am seeing, if I have not concept to categorize my experience. Much of our experience is of a world with a work of the practicular objects, events and processes. Our idea of world with things may be abstractions—constructions from something more primitive, or or fitting the strategies of the process. But if so, or they have a best actions, for they let us predict, retrodict, explain and plan events in the world.

It is hard to imagine how we could identify entities if we did not have concepts. The reason this is hard. I suspect, is because object identification is such an active process. Percention, it is now widely accepted, is not a passive system. It is a method for systematically gathering evidence about the environment. We can think of it as an oracle offering answers to questions about the external world. Not direct answers, but partial answers, perceptual answers, that serve as evidence for or against certain perceptual conjectures. One job of the percentual system is to ask the right questions. Our eyes jump about an image looking for clues of identity; then shortly thereafter they search for confirmation of conjectures. The same holds for different modalities. Our eves often confirm or disconfirm what our ears first detect. The notions of evidence. confirmation and falsification, however, are defined as relations between statements or propositions. Concepts are essential to perception then because perception provides evidence for conjectures about the world. It follows that the output of perception must be sufficiently evidence-like-that is, propositional-to be assigned a conceptual structure. How else could we see physical facts, such as the pen being on the desk as the structured facts— $|the\ pen|^{-}|is\ on|^{-}|the\ desk|^{?}$ 

Growth of knowledge A third feature of rational intelligence-learning-can also be partly explained if we attribute to a system a set of disembodied concepts. From the logicist perspective, domain knowledge is much like a theory, it is a system of axioms relating basic concepts. Some axioms are empirical, others are definitional. Learning, on this account, is construed as movement along a trajectory of theories. It is conceptual advance. This approach brings us no closer to understanding the principles of learning, but we have at least defined what these principles are: principles of conceptual advance. A theory of intelligence which did not mention concepts would have to explain learning as a change in capacities behaviourally or functionally classified. Since two creatures with slightly different physical attributes would not have identical capacities, behaviourally defined, the two could not be said to learn identically. Yet from a more abstract perspective, what we are interested in is their knowledge of the domain, the two might indeed seem to learn the same way. Without concepts and conceptual knowledge it is not clear this similarity could be discovered, let alone be explained. But again the relevant notion of concept is not one that requires our knowing how it is grounded. Disembodied concepts serve well enough.

Decay of knowledge In a similar fashion, if a system has a network of disembodied concepts we can often notice and then later explain regularities in how its rational performance degrades. It is an empirical fact that knowledge and skill sometimes decay in existing reasoning systems, such as humans or animals, in a regular manner. Often it does not. Alzheimer's disease may bring about a loss of functionality that is sporadic or at times random. But often, when a system decays, deficits which at first seem to be unsystematic, can eventually be seen to follow a pattern, once we know the structure of the larger system from which they energe. This is obviously desirable five are cognitive scientists and wish to explain deficits and predict their citology, but it is equally desirable if we are designess trying to determine why a design faulty. If we observe the consequence of the control decay at the conceptual level without explaining grounding offers us further evidence of the robustness of dismensional controls.

Portability of knowledge There is yet a fifth phenomenon of rationality which the postulation of disembodied concepts can help explain. If knowledge consists in compositions of concepts—that is, propositions—we have an explanation of why, in principle, any piece of knowledge in one microtheory can be combined with knowledge drawn from another microtheory. They can combine

- The output of vision is conceptualized and so the interface between perception and "central cognition" is clean and neatly characterizable in the language of predicate calculus, or some other language with terms denoting objects and terms denoting properties.
- Whenever we exercise our intelligence we call on a central representation
  of the world state where some substantial fraction of the world state is
  represented and regularly undated percentually or by inference.
- represented and regularly updated perceptually or by inference.

  When we seem to be pursuing our tasks in an organized fashion our actions have been planned in advance by envisioning outcomes and choosing a sequence that best achieves the agent's goals.

The error in each of these assumptions, Brooks contends, it is suppose that the real world is somehow simple enough, sufficiently decroposable into the real world is somehow simple enough, sufficiently decroposable into concept-sized bites, that we can represent it, in real time, in all the detailed energetises that might matter to achieving our goals. It is not. Even if we enough concepts to cover its relevant aspects we would never be able to compute an updated world model in real time. Moreover, we don't never the access in a causalty dense world is achieved by tuning the perceptual system to action-relevant changes.

To take an example from J.J. Gibson, an earlier theorist who held similar aviews, if a creature's goals are to avoid obstacles on its path to a target, it suptate a trace, it amount with the content of the content of

Now this is nothing short of a Ptolemaic revolution. If the world is always, sensed from a perspective which views the environment as a space of posterior is sensed from a perspective which views the environment as a space of posterior is test for action, then every time an agent performs an action which changes the action potentials which the world affords it, it changes the world as it perspective. It. In the last example, this occurs because as the agent changes is instrances seespite being in almost the same spatial relations to objects in the environment. Per milipha actions can change the way a creature perceived world. If these changes in perception regularly simplify the problem of attaining goals, then traditional accounts of the environment. Be attaining reads, then traditional accounts of the environment as a state the actual computational problems faced by creatures acting in the state the actual computational problems faced by creatures acting in the world-for-the-agent. The world-for-the-agent changes despite the world-for-the-agent changes despite the world-for-the-agent. The

an empirical question just how often hardware biases the definition of a cognitive problem. A priori one would expect a continuum of problems from the most situated—where the cognitive task cannot be correctly defined without a careful analysis of the possible compliances and possible agent environment invariant—to highly abstract problems, such as word problems, number problems, puzzles and so forth, where the task is essentially abstract, and its implementation in the world is largely irrelevant to performance.

Ultimately, Brooks' rejection of disembodied AI is an empirical challenge: for a large class of problems facing an acting creature the only reliable method of discovering how they can succeed, and hence what their true cognitive skills are, is to study them in situ.

Frequently this is the way of foundational questions. One theorist argues that many of the assumptions underpinning the prevailing methodology are false. He then proposes a new methodology and looks for empirical support. But occasionally it is possible to offer, in addition to empirical support, a set of purely philosophical arguments against a methodology.

#### 3.1. Philosophical objections to disembodied AI

At the top level we may distinguish two philosophical objections: first, that knowledge level accounts which leave out a theory of the body are too incomplete to serve the purpose for which they were proposed. Second, that axiomatic knowledge accounts fail to capture all the knowledge an agent has about a domain. Let us consider each in turn.

#### 3.1.1. Why we need a theory of the body

The adequacy of a theory, whether in physics or AI, depends on the purpose it is meant to serve. It is possible to identify three rather different purposes AI theorists have in mind when they postulate a formal theory of the commonsense world. An axiomatic theory T of domain D is:

- adequate for robotics if it can be used by an acting perceiving machine to achieve its goals when operating in D;
- (2) adequate for a disembodied rational planner if it entails all and only the intuitive truths of D as expressed in the language of the user of the planner:
- adequate for *cognitive science* if it effectively captures the knowledge of D which actual agents have.

"Clearly there are limits to how deviantly an abstract task may be implemented without effecting performance. Incompleto of ticactore and the Power of Hancia are notoriously more difficult to solve than the standard problems. But the success in solving a problem often depends on finding its abstract structure—on understanding the constraints and option—Particular implementations or cause of the problem of the problem of the problem are particularly appropriately appropriate proprietable proprie

18 D. Kirsh

The philosophical arguments I will now present are meant to show that a formal theory of D, unless accompanied by a theory about the sensori-motor capacities of the creature using the theory, will fail no matter which purpose a theorist has in mind. Theories of conceptualizations alone are inadequate, they require theories of embodimens.

Inadequacy for robotics. According to Nilson, the touchstone of adequacy of Inadequacy for robotics. According to Nilson, the touchstone of adequacy of the a logicist theory is that it marks the necessary domain distinctions and mid situactions and miss goals. Theoretical adequacy is a function of four variables: D: the actual subject-independent properties of a domain; P: the creature's perceptual capacities; A: the cereature's action repertorie; and G: the creature's goals. In principle a change in any one of these can affect the theoretical adequacy of an axiomatization. For changes in perceptual abilities, no less than changes in action abilities or goals may render domain distinctions worthless, invisible to a creature.

If axioms are adequate only relative to (DPAG) then formal theories are strictly speaking nutestable without an acount of (DPAG). We can untestable without an acount of (DPAG). We can be a particular robot will need for coping with D. We cannot just assume that A is adequate if it satisfies our own intuitions of the useful distinctions inherent in a dequate if it satisfies where A is adequate if it satisfies our own intuitions of the useful distinctions inherent in a coordination. The intuitions we ourselves have about the domain will be relative to our own action repertoire, perceptual capacities, and goals. Nor will appeal to our own action repertoire, perceptual capacities, and goals. Nor will appeal to the proposition of the pr

Moreover, this need to explicitly state A, P, and G is not restricted to robots or creatures having substantially different perceptual-motor capacities to our own. There is always the danger that between any two humans there are substantive differences about the intuitively useful distinctions inherent in a domain. The chemist, for instance, who wishes to axiomatize the knowledge a robot needs to cope with the many liquids it may encounter, has by dint of study refined his observational capacities to the point where he or she can notice theoretical properties of the liquid which remain invisible to the rest of us. She will use in her axiomatizations primitive terms that she believes are observational. For most of us they are not. We require axiomatic connections to tie those terms to more directly observational ones. As a result, there is in all probability a continuum of formal theories of the commonsense world ranging from ones understandable by novices to those understandable only by experts. Without an account of the observational capacities presupposed by a theory, however, it is an open question just which level of expertise a given T represents.

It may be objected that an account of the observational capacities pre-

supposed by a theory is not actually part of the theory but of the metatheory of use—the theory that explains how to apply the theory. But this difference in name alone. The domain knowledge that is required to tie a predicate to the observational conditions that are relevant to it is itself substantial. If a novice is to use the expert's theory he will have to know how to make all things considered judgements about whether a given phenomenon is an 4-type event of B-type event. Similarly if the expert is to use the novice's theory he must likewise consult the novice's theory to decide the best way to collapse observational distinctions he notices. In either case, it is arbitrary where way these world linking axioms are to be found. They are part and partial of domain knowledge. But the form the basis for a theory of embodiment

Foundations of AI

Inadequacy for disembodied rational planners: Despite the generality of the argument above it is hard to reject the seductive image of an omismate angel—a disembodied intellect who by definition is unable to see or act—who nonetheless is fully knowledgeable of the properties of a domain and is also to draw inferences, make predictions and offer explanations in response to questions put to I

The flaw in this image of a disembodied rational planner, once again, is to be found in the assumption that we can make sense of the angle's thoesumption that we can make sense of the angle's thoesumption that we can make sense of the angle's thought along the angular through the sense of t

It is not a weakness of model theory that it fails to state what a user of a language thinks its expressions are about. Model theory is a theory of validity, a theory of logical consequence. It states conditions under which an axiom set is consistent. It doesn't purport to be a theory of intentionality or a theory of meaning. This becomes important because unless all models are isomorphic to the intended model there will be possible interpretations that are so ridiculous given what we know that the axiom set is obviously empirically fails. We know it doesn't correctly deserthe the entities and relations of the domain in

The way out of the model-theoretic straightjacket is once again by means of translation axioms linking terms in the axiom set to terms in our ordinary 20 D. Kirch

language. Thus if the angel uses a term such as "supports" as in "if you move a susume that books supporting another blook, the supported blook moves" we assume that the meaning the angel has in mind for support is the same as that which we would have in the comparable English sentence. But now a profluen raise, we remain the support is the same as that which we specify the meaning of these terms in English we cannot be confident the anges's theory is empirically adequate. The reason we must go this extra varieties that there are still too many possible interpretations of the terms in the saison set. For instance, does the assom "if you move a block supporting another, the supported block moves" seem correct? Perhaps. But consider cases where the upper block is recting on several lower blocks. But consider cases where the upper block. Any single lower block can now be removed without disturbing the unnor. Hence the axion fails.

Were these cases intended? Exactly what range of cases did the angel have in mind? Without an account of intentionality, an account which explains what the angel would be disposed to recognize as a natural case and what as a deviant case, we know too little about the meaning of the angel's axioms to put them to use. Translation into English only shifts the burden because we still meed to know what at a English speaker would be disposed to recognize as natural case and what as a deviant case. Without a theory of embodiment these questions are not meaningful.

Inadequacy for cognitive science. I have been arguing that axiomatic accounts of common sense domains are incomplete for both hoots and angels unless they include axioms specifying sensori-motor capacities, dispositions, and possibly goals. For the purposes of cognitive science, however, we may add yet another requirement to this list: that the predicates appearing in the axioms be extendable to new contexts in roughly the way the agents being modelled extend their predicates. We cannot say we have successfully captured the knowledge a given agent has about a domain unless we understand the concepts (or recognitional dispositions) it uses.

For instance, suppose an axiomatization of our knowledge of the blocks world fails to accummodate our judgments about novel blocks world cases. This will occur, for example, if we try to use our axioms of cubic blocks worlds apply to blocks worlds containing pyramids. When our cubic blocks world axiomatization generates false predictions of this broader domain, shall we say the axiomatization of both worlds we operate with? Or shall we rather say that we must operate with more than one set of blocks world conceptions—one apt for cubic blocks, another for pyramidal, and so forth? One major school of thought maintains that it is the nature of human concepts that they be extendable to new domains without wholesale overhauling [19, 20]. Indeed that virtually all concepts, it is suggested, have this extensibility property.

Yet if extensibility is a feature of our conceptualizations then no axiomatiza-

22 D. Kirsh

to treat all concepts as designating entities in the public domain. It is possible to introduce new constructs, such as perspectives, or situations to capture the agent's point of view on a space time region. But this still leaves unexplained the agent's perspective on virtual spaces which can be explained only by describing the agent's dispositions to behave in certain ways. Hence there are some things that an agent can know about a domain—such as where it is in a domain—which cannot be cantured by standard axionatic accounts."

#### 4. Is cognition rational kinematics?

I have been arguing that there are grave problems with the methodological assumption that cognitive skills can be studied in abstraction from the seriage and motor apparatus of the bodies that incorporate them. Both empirical and philosophical arguments can be presented to show that the body shows through. This does not vitiate the program of knowledge level theorists, but it does raise doubts about the probability of correctly modelling all cognitive skills on the knowledge-base/inference-engine model.

A further assumption related to disembodied Al is that we can use logic or English to track the trajectory of informational states a system creates as it processes a cognitive task. That is, either the predicate calculus or English can serve as a useful semantics for tracking the type of computation that goes on in contition. They are helfold metalaneusaes.

From the logicist's point of view, when an agent computes its next behaviour it creates a trajectory of informational states that are about the objective functions and relations designated in the designer's conceptualization of the nervironment. This language is, of course, a logical language. Hence the informational states can be described as rational transitions between these informational states can be described as rational transitions between the states of the described as rational transitions between the states of the described as rational transitions between the states of the described as the states of the described as rational transitions between sentences will be less well-defined, but outlet nonetheless to make sense as reasonable.

There are two defects with this approach. First, that it is parochial: that in fact there are many types of computation which are not amenable to characterization in a logical metalanguage, but which still count as cognition. Second, because it is easy for a designer to mistake his own conceptualization for a machine's conceptualization there is a tendency to misinterpret the machine's informational trajectory, often attributing to the machine a deeper grasp of the world than is romeer.

<sup>&</sup>lt;sup>8</sup> For a brief account of the advantages of conceiving of the world as a public space, see my commentary on Rod Brooks [16].

<sup>&</sup>quot;A third argument against model theoretic interpretations of knowledge is inconsistency. If there is an inconsistency in what I know about liquids, then there can be no models of this knowledge set. So I must know nothing at all. But of course I do know much about liquids, I just happen to be mistaken in one of my beliefs. Efforts to deal with such inconsistency exist in the literature [21].

D. Kirsh

contexts. Sometimes these contexts lie outside the narrow task he is building a cognitive skill for.

None of the above establishes that English is inadequate. It just shows that it is easy to make false attributions of content. The criticism that logic and natural language are not adequate metalanguages arises as soon as we ask whether they are expressive enough to desertise some of the bizare concepts systems with fanny dispositions will have. In principle, both logic and English care expressive enough to capture any comprehensible concept. But the resulting characterization may be so long and confusing that it will be virtually incomprehensible. For instance, if we try to identify what I have been calling the implicit concepts of the compass controller we will be symied. If the system could talk what would it say to the question: Can a circle be drawn in a space measured with a non-Euclidian metric? What nascent idea of equidiative decis it have? Its inferences would be so disoparctate that finding an English process of the compassion of the companies of the

What is needed is more in the spirit of a functional account of informational content [1]. Such semantics are usually ugly. For in stating the role an informational state plays in a system's dispositions to behave we characteristically need to mention myriad other states, since the contribution of a state is a function of other states as well.

Accordingly, not all informational states are best viewed as akin to English sentences. If we want to understand the full range of cognitive skills—sespecially those modular ones which are not directly hooked up to central inference—we will need to invoke some other language for describing information content. Frequently the best way to track a computation is not as a

Argument 2. The need for new languages to describe informational content has recently been re-ierated by certain connectionists who see in parallel distributing processing a different style of computation. Hewitt and Gasser have also emphasized a similar need for an alternative understanding of the computational processes occurring in distributed Al systems. It is old fashioned and parochial to hope for a logic-based denotational semantics for such systems.

The DPP concern can be stated as follows: in PDP computation vectors of activation propagate through a partially connected network. According to Smolensky [41] it is constructive to describe the behaviour of the system as a path in tensor space. The problem of interpretation is to characterize the significant events on this path. It would be pleasing if we could say "now the network is extracting the information that \( \rho \) mow the information that \( \rho \) when the problem is extracting the information that \( \rho \) mow the information that \( \rho \) when the problem is extracting the information that \( \rho \) mow the information that \( \rho \).

input and output vectors—whose interpretation we specifically set—the majority of vectors are not interpretable as carrying information which can be easily stated in English or logic. There need be no one-one mapping between easignificant events in the system's tensor space trajectory and its patcent propositional space. Smolensky—whose argument this is—suggests that much of this intermediate processing is interpretable at the subconceptual evelwere the basic elements of meaning differ from those we have words for in Familish. <sup>50</sup>

In like manner, Hewitt and Gasser offer another argument for questioning whether we can track the information flowing through a complex system in propositional form. The question they ask is: How are we to understand the content of a message sent between two agents who are part of a much larger matrix of communicating agents. Superficially, each agent has its own limited perspective on the task. From agent-1's point of view, agent-2 is saving p, from agent-3's point of view agent-2 is saving a. Is there a right answer? Is there a God's eve perspective that identifies the true content and gives the relativized perspective of each agent? If so, how is this relativized meaning to be determined? We will have to know not only whom the message is addressed to, but what the addressee is expecting, and what it can do with the message. Again, though, once we focus on the effects which messages have on a system we leave the simple world of denotational semantics and opt for functional semantics. Just how we characterize possible effects, however, is very different than giving a translation of the message in English. We will need a language for describing the behavioural dispositions of agents.

Cognition as rational inference looks less universal once we leave the domain of familiar sequential processing and consider massively parallel architectures.

#### 5. Can cognition be studied separately from learning?

In a pure top-down approach, we assume it is possible to state what a system knows without stating how it came to that knowledge. The two questions, competence and acquisition can be separated. Learning, on this view, is a switch that can be turned on or off. It is a box that takes an early conceptualization and returns a more mature conceptualization. Thus learning and com-

<sup>&</sup>quot;One way of seeing the problem is to recopite that in a simple feed-forward network a given blidden until can be correlated with a (possible nested) dispitated on coloquiations of probabilities of input features. A vector, therefore, can be interpreted as a combination of these: The result is a compound that may make very filler seens to use from these three three

26

exputalization are sufficiently distinct that the two can be studied separately, Indeed, learning is often understood as the mechanism for generating a trajectory of conceptualizations. This is clearly the belief of logic theories and developmental psychologists who maintains that what an agent knows at a given stage of development is a theory, not fundamentally different in spirit than a scientific theory, about the domain [4d].

There are several problems with this view. First, it assumes we can characterize the instantaneous conceptualization of a system without having to study its various earlier conceptualization using the standard techniques? To determine what a competent PDP system, for example, would know about its environment of action, it is necessary to train it until it satisfies some adequayer metric. We cannot say in advance what the system will know if it is perfectly competent because there are very many paths to competence, each of which potentially culminates in a different solution. Moreover if the account of PDP offered above is correct it may be impossible to characterize the system's conceptualization in a logical language or in English. It is necessary to make the system's competence. Hence the only way to know what a PDP system will know it it competence. Hence the only way to know what a PDP system will know it it only the competence and the content of the properties of the content of the properties of the content of the conten

A second argument against detaching knowledge and learning also focusses on the in practice unpredictable nature of the learning trajectory. In Soar it is frequently said that chunking is more than mere speedup [35]. The results of repeatedly chunking solutions to impasses has a nonlinear effect on sonlinear effects, however, we cannot predict the evolution of a system short of running it. Thus in order to determine the world state knowledge underpinning a skill we need to run Soar with its chunking module on.<sup>11</sup>

A final reason we cannot study what a system knows without studying how it acquires that knowledge is that a system may have been special design features that let it acquire knowledge. It is organized to self-modify. Hence we cannot predict what knowledge it may contain unless we know how it integrates new information with Jold. There are many ways to self-modify.

For instance, according to Roger Schank, much of the knowledge a system contains is lodged in its indexing schemel [41]. As systems grow in size they generally have to revise their indexing scheme. The results of this process of revision cannot be anticipated a prior unless we have a good idea of the resident indexing schemes. The reason is that much of its knowledge is stored in cases. Case knowledge may be sensitive to the order the cases were encountered.

We can, of course, hand-simulate running the system and so predict its final states. But I take it this is not a significant difference from running Soar itself.

Consequently, we can never determine the knowledge a competent system has unless we know something of the cases it was exposed to and the order they were met. History counts.

This emphasis on cases goes along with a view that much of reasoning involves noticing analogies to past experiences. A common corrolary to this position is that concepts are not context-free intensions; they have a certain open texture, making it possible to flexibly extend their use and to apply them to new situations in creative ways. An agent which understands a concept should be able to recognize and generate analogical extensions of its concepts to new contexts.

Once we view concepts to be open textured, however, it becomes plausible to suppose that a concept's meaning is a function of history. It is easier loss upon that a concept's meaning is a function of history. It is easier loss can analogical extension of a word if it has already been extended in that direction before. But then, we can it say what an agent's concept of "conditionist" is unless we know the variety of contexts if has seen the word in. If that is so, it is impossible to understand a creature's conceptualization in abstraction its learning history. Much of cognition cannot be studied independently of learning.

#### 6. Is the architecture of cognition homogeneous?

The final issue I will discuss is the claim made by Newell et al. that cognition is basically the product of running programs in a single architecture. According to Newell, too much of the research in Al and cognitive science aims at creating independent representational and control mechanisms for solving particular cognitive tasks. Each investigator has his or her preferred computational models which, clever as they may be, rarely meet a further constraint that they be integrabable into a unified account of continion. For Newl

Psychology has arrived at the possibility of unified theories of cognition—theories that gain their power by positing a single system of mechanisms that operate together to produce the full range of human cognition [30].

The idea that there might be a general theory of intelligence is not new. At an abstract level anyone who believes that domain knowledge plus inferential abilities are responsible for intelligent performance, at least in one sense, operates with a general theory of cognition. For, on that view, it is knowledge, ultimately, that is the critical element in coemition.

But Newell's claim is more concrete: not only is knowledge the basis for intelligence; knowledge, he argues further, will be encoded in a Soar-like mechanism. This claim goes well beyond what most logicists would maintain. It is perfectly consistent with logicism that knowledge may be encoded, implemented or embedded in any of dozens of ways. A bare commitment to

have a personal element to them. In my case I have focussed most deeply on the challenges of embodiment. How reliable can theroise of cognition be if they assume that systems can be studied abstractly, without serious concern for the mechanisms that ground a system's conceptualization in perception and action? But other more traditional issues are of equal interest. How central is the role which knowledge plays in cognitive skills? Can most of cognition is seen as inference? What part does learning or psychological development play in the study of reasoning and performance? What I got mechanisms of control and representation suffice for general intelligence? None of the arguments presented here even begin to be decisive. Nor were they meant to be. Their functions is to encourage informed debate of the paramount issues informing

#### Acknowledgement

I thank Farrel Ackerman, John Batali, Danny Bobrow, Pat Hayes, Paul Kube, Brian Smith and Patrick Winston for helpful and fun conversations on the topics of this paner.

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## Logic and artificial intelligence

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Received February 1989

#### Abstract

Nilsson, N.J., Logic and artificial intelligence, Artificial Intelligence 47 (1990) 31-56.

The theoretical foundations of the logical approach to artificial intelligence are presented, logical languages are widely used for expressing the declarative knowledge needed in artificial intelligence systems. Symbolic logic also provides a clear semantics for knowledge representation languages and a methodology for analyzing and companing deductive enex techniques. Several observations gained from experience with the approach are necessarily and an experimental control of the control of t

#### 1. Introduction

Until a technological endeavor achieves a substantial number of its goals, several competing approaches are likely to be pursued. So it is with artificial intelligence (A1). Al researchers have programmed a number of demonstration systems that exhibit a fair degree of intelligence in limited domains (and some systems that even have commercial value). However, we are still far from cacheiving the versatic cognitive skills of humans. And so research continues along a number of paths—each with its ardent proponents. Although successful Al systems of the future will probably draw upon a combination of techniques, it is useful to atually the different approach in their pure forms in order to take the continues of the proposed of the continues of the combination of techniques, the successful to atually the different approach in their pure forms in order to take the continues the continues of the continues that the continues the continues that the continues the continues the continues that the continues that the continues that the continues that the continues the continues that the continues t

Some of the criticisms of the use of logic in AI stem from confusion about what is it hat "begicists" claim for their approach. As we shall see, logicism provides a point of view and principles for constructing languages and procures used by intelligent machines. It certainly does not promise a ready-made apparatus whose handle needs only to be turned to emit intelligence. Indeed, some researchers who might not count themselves among those following a logical approach can arguably be identified with the logicist position. (See, for examble, Smith's review of a naper by Lenat and Feigenbaum 128, 341) Other,

N.J. Nilsson

more naive, criticisms claim that since so much of human thought is "illogical" (creative, intuitive, etc.), machines based on logic will never achieve human-level cognitive abilities. But puns on the word "logic" are irrelevant for evaluating the use of logic in building intelligent machines; making "illogical" machines is no trouble at all!

In describing logic and AI, we first relate the logical approach to three theses about the role of knowledge in intelligent systems. Then we examine the theoretical foundations underlying the logical approach. Next, we consider some important observations gained from experience with the approach, Lastly, we confront some challenging problems for AI and describe what is being done in an attempt to solve them. For a textbook-length treatment of lovic and AI see [12].

#### 2. Artificial intelligence and declarative knowledge

The logical approach to AI is based on three theses:

Thesis 1. Intelligent machines will have knowledge of their environments.

Perhaps this statement is noncontroversial. It is probably definitional. Several authors have discussed what it might mean to ascribe knowledge to machines—even to simple machines such as thermostats [33, 48].

Thesis 2. The most versatile intelligent machines will represent much of their knowledge about their environments declaratively.

Al researchers attempt to distinguish between declarative and procedural knowledge and argue about the merits of each. (See, for example, [16, 60].) Roughly speaking, declarative knowledge is encoded explicitly in the machine in the form of sentences in some language, and procedural knowledge is manifested in programs in the machine. A more precise distinction would have to take into account some notion of level of knowledge. For example, a LISP program which is regarded as a program (at one level) is regarded (at a lower level) as a declarative structure that is interpreted by another program. Settling on precise definitions of procedural and declarative knowledge is beyond our scope here. Our thesis simply states that versatile intelligent machines will have (among other things) a place where information about the environment is sorded explicitly in the form of sentences. Even though any knowledge that is ascribed to a machine (however represented in the machine) might be given a declarative interpretation by an outside observer, we will not say that the

machine possesses declarative knowledge unless such knowledge is actually represented by explicit sentences in the memory of the machine.

When knowledge is represented as declarative sentences, the sentences are manipulated by reasoning processes when the machine is attempting to use that knowledge. Thus, the component that decides how to are declarative knowedge is separate from the knowledge itself. With procedural approaches to knowledge representation, knowledge use is inextricably intertwined with knowledge representation.

The first serious proposal for an intelligent system with declarative knowledge was by John McCarthy (302 McCarthy noted the versatility of declaratively represented knowledge: it could be used by the machine even for purposes unforescene by the machine's designer, it could more easily be modified than could knowledge embodied in programs, and it facilitated communication between the machine and other machines and humans due wrote later, "Sentences can be true in much wider contexts than specific programs can be useful" [36].

Smolensky [55] listed some similar advantages: "a. Public access: [Declarative] knowledge is accessible to many people: R. Reildbildbir; Different opport (or the same person at different times) can reliably check whether conclusions have been validly reached; c. Permility, boostrapping, universality inferential operations require very little experience with the domain to which the symbols refer.

To exploit these advantages, the declaratively represented knowledge must, to a large extent, be contest pre-That is, the meaning of the sentences expressing the knowledge should depend on the sentences themselves and not the external context in which the machine finds itself. The context requirement would rule out terms such as "here" and "now" whose meaning deemeds on context. Such terms are called indexions.

Many database systems and expert systems can be said to use declarative knowledge, and the "framers" and "semantic networks" used by severally programs can be regarded as sets of declarative sentences. On the other hand, there are severall examples of systems that do not represent knowledge and the world as declarative sentences. Some of these are described in the other paneers in this volume.

Thesis 3. For the most versatile machines, the language in which declarative knowledge is represented must be at least as expressive as first-order predicate calculus.

One might hope that a natural language such as English might serve as the language in which to represent knowledge for intelligent systems. If this were possible, then all of the knowledge already compiled in books would be immediately available for use by computers. Although humans somehow

understand English well enough, it is too ambiguous a representational medium for present-day computers—the meanings of English sentences depend too much on the contexts in which they are uttered and understood.

Al researchers have experimented with a wide variety of languages in which to represent sentences. Some of these languages have limited expressive power. They might not have a means for saying that one or another of two facts is true without saying which fact is true. Some cannot say that a fact is not true without saying what is true instead. They might not be able to say that all the members of a class have a certain property without explicitly isting each of them. Finally, some are not able to state that at least one member of a class as a certain property without sating which member does, First-corder predictions are considered to the control of the cont

#### 3. Foundations of the logical approach

In addition to the three theses just stated, the logical approach to AI also embraces a point of view about what knowledge is, what the world is, how a machine interacts with the world, and the role and extent of special procedures in the design of intelligent machines.

Those designers who would claim that their machines possess declarative knowledge about the world are obliged to say something about what that claim means. The fact that a machine's knowledge base has an expression in it like (V8)Boxly)-Gleenfyl, for example, doesn't by itself justify the claim that the machine believes all boxes are green. (The memenoir relation constants that we use in our design aren't mnemonic for the machine! We could just as well have written (V8001162) GOS2030.

There are different views of what it means for a machine possessing a database of sentences to believe the facts intended by those sentences. The view that I favor involves making some (perhaps unusual) metaphysical



a mathematical structure, but since our picture provides for the world to be affected by and affect itself and the intelligent machine, one shouldn't worry that our view of the world is impractically ethereal.)

Now, the designer of a machine that is to interact with the world never knows what the world objects, functions, and relations actually are. He must guess. Guessing involves invention on the designer's part. (Our machine designer is in the same predicament as is the scientist; scientists reduced descriptions of the world and gradually refine them until they are more useful.) We use the term conceptualization to describe the designer as guess about the world objects, functions, and relations. The designer may not even be able to specify a single conceptualization for example he may choose not to combine thin the property green or blue. Thus, in general, the designer attempts to specify a of conceptualization of blue. Thus, in general, the designer attempts to specify a of conceptualization such that, whatever the world actually is, he guesses it is a member of the set.

The designer realizes, of course, that his conceptualization might not accurately capture the world—even as he himself believes to the. For example, bis conceptualization may not discriminate between objects that he himself recognizes to be different but which can be considered to be the same considerable his purposes for the machine. The designer need only invent a conceptualization that is good erough, and when and if it becomes apparent that is deficient (and that this deficiency is the cause of inadequate machine performance) he can modify his conceptualization.

We stress that the objects guessed to exist in the world by the designer are invented. He is perfectly free to invent anything that makes the machine perform appropriately, and he doesn't ask whether or not some object really does or does not exist (whatever that might mean) apart from these invented structures. For many ordinary, concrete objects such as chairs, houses, people, to and so on, we can be reasonably confident that our inventions mirror rules thouses, people, But some of the things that we might want to include as world objects, such as precambrian unconformities, English sentences, the Pelopomenian War, \( \pi\_n\) and ratule, have a somewhat more arbitrary ontological status. In fact, much of the designer's guess bout the world may be quite arbitrary in the sense that other guesses would have suited his purpose equally well. (Even those researchers following other declarative, but putatively non-objectal, approvaches must invent the equivalent of objects, relations, and functions when they attempt to give their machines declarative knowledge.)

A logistic expresses his conceptualization of the world (for the machine) by a set of sentences. The sentences are made part of the machine's memory (comprising its state) and embody the machine's declarative knowledge. We assume that the sentences are in the first-order predictace calculus; this language and the sentences in it are constructed as follows: For every world object in the conceptualization we create an object constant, for every world relation. the machine is attached to the world, as in Fig. 1, mem produces a sequence of states  $\Delta_1, \Delta_2, \ldots, \Delta_m$ 

Even when the designer has a single intended interpretation in mind. 4, in general, will be satisfied by a set of interpretation—the intended one among them. The designer must provide sufficient sentences in the knowledge base such that its models are limited—limited so that even though the set has more than one model, it doesn't matter given the purposes for the machine. (To the extent that it does matter, the designer must then provide more sentences.) In designing knowledge bases, if frequently happens that the designer's idea of the intended interpretation is changed and articulated by the very act of writing down (and reasoning with) the sentences.

So, a machine possessing a set of sentences Anovs about the world in the sense that these sentences admit of a set of models, and this set is the designer's best approximation to what the world actually is, given the purposes for the machine. The actual world might not even be in the set (the designer's guess might be wrong), so we really should be talking about the machine's behiefs rather than the machine's Knowledge. But, following the transition established by the phrase "knowledge-based systems," we will continue to speak of the machine's Knowledge.

The machine's procedural knowledge is represented in the functions mem and act. The function mem changes the sentences and thereby changes the machine's state. Perhaps new sentences are added or existing ones are modified or deleted in response to new sensory information. The function mem may also produce a change in the machine's state in the absence of sensory information; changes to \( \Delta \) may occur through processes of deduction or other types of inference as will be described below.

The machine's declarative knowledge affects its actions through the function act. We take act to be a function (over sets of sentences) that produces actions. Note that act can thus only respond to sentences qua sentences, that is, as strings of symbols. It is not a function of the models of these sentences!

Given this picture, we can identify a spectrum of design choices. At one end, act and men are highly specialized to the tasks the machine is expected to perform and to the environment in which it operates. We might say, in this case, that the machine's knowledge is mainly procedurally represented. At the other extreme, act and mem are general purpose and largely independent of the application. All application-specific knowledge is represented in a. The machine's knowledge in this case can be said to be mainly declaratively represented. The logical approach usually involves a commitment to represent most of the machine's knowledge declaratively. For a proposal at the exclude clearative end, see [12, Chapter 13]. It is not yet known to what extent this goal can be achieved while maintaining reasonable efficiency.

Because the actions emitted by act depend on the syntactic form of the sentences in  $\Delta$ , it is necessary for mem to be able to rewrite these sentences in

Imagine, for each read and the form appropriate to the task at hand. This aspect of mem we call reasoning, or any ordering the part of the form and the form and the form of t

Often, as in the box-painting example, the new sentence constructed from ones already in memory does not tell us anything new about the world, (All of the models of (YngBbeqp)-Box(j) and Bbaq(617) are also models of (Box(617) to also son treduce the set of models.) What the new sentence tells us was already implicitly said by the sentences from which it was constructed.

If all of the models of  $\Delta$  are also models of a sentence  $\phi$ , we say that  $\Delta$  logically entails  $\phi$  and write  $\Delta$   $\mid \phi$ . Among the computations that we might vant nem to perform are those which add sentences to  $\Delta$  that are logically entailed by  $\Delta$ . One apparent problem in devising such computations is the prospect of having to check all the models of  $\Delta$  to set (they are also models of  $\phi$ . But, fortunately, there exist strictly syntactic operations on  $\Delta$  that are able to compute lociselly entailed formula.

We use the phrase rule of inference to refer to any computation on a set of sentences that produces new sentences. If  $\psi$  can be derived from  $\Delta$  by a sequence of applications of rules of inference, we say that  $\psi$  can be deduced from  $\Delta$  and write  $\Delta$  + $\phi$ . An example is the rule of inference called modula ponens. From any sentences of the form  $\rho \supset \sigma$  and  $\rho$ , we can deduce the sentence  $\sigma$  by moduly sponens. The process of logical deduction involves using a set of rules of inference called deduction involves using a set of rules of inference to deduce additional sentences from a set of sentences. Interestingly, it happens that there are rules of inference, moduls ponens is an example, that have the property that if  $\Delta$ 1+ $\phi$ , then  $\Delta$ 1+ $\phi$ 5. Such rules of inference are called sound.

Sound rules of inference are extremely important because they allow us to compute sentences that are logically entailed by a set of sentences using computations on the sentences themselves (and not on their models).

We can also find sets of inference rules that have the property that if  $\Delta \models \phi$  then the rules (successively applied) will eventually produce such a  $\phi$ . Such a set of inference rules is called *complete*.

Although all logicists typically incorporate sound inference rules as part of the calculations performed by mem, there is no necessary reason to limit mem to performing sound inferences. Other computations are often desirable. We will describe some of these later in the paper. In summary, intelligent machines designed according to the logical approach are state-machines whose states are sets of sentences. Machine state transitions are governed by a function, mem, acting on the sentence sets and the inputs to to the machine. An important, but not the only, component of mem is sun logical inference. Machine actions are governed by a function, act, of the amachine's state and inputs. The intended interpretation of the sentences machine's state involves objects, functions, and relations that are the designer's surgested by the state involves objects, functions, and relations that are the designer's success about the world.

Through naming comes knowing; we grasp an object, mentally, by giving it a name—hension, prehension, apprehension. And thy six through language create a whole world, corresponding to the other world out there. Or we trust that it corresponds. Or perhaps, like a German poet, we exaes to care, becoming more concerned with the naming than with the things named; the former becomes more real than the latter. And so in the end the world is lost again. No, the world remains—those unique, particular, incorrigibly individual junipers and sandstone monotible—and it is we who are lost. Again. Round and round, through the endless labyrinth of thought—the mace, (Edward Abbey I, pp. 288–299).

#### 4. Comments on the logical approach

The basic idea underlying the logical approach to AI is simple, but attempts to use it have resulted in several additional important insights.

### 4.1. The importance of conceptualization

The most important part of "the Al problem" involves inventing an appropriate conceptualization (intended model). It is not easy for a designer to squeeze his intuitive and commonsense ideas about the worfd into a coherent conceptualization involving objects, functions, and relations. Although this exercise has been carried out for several limited problem domains (most notably those to which expert systems have been successfully applied), there are some particularly difficult subjects to conceptualize. Among these are for the content of agents and content of the content

many of the most difficult conceptualization problems arise when attempting to express knowledge about the everyday, "commonsense" world (see [20,21]). Al researchers join company with philosophers who have also been attempting to formalize some of these ideas.

Choosing to use first-order predicate calculus as a representation language, does not relieve us of the chore of deciding what to say in that language. Deciding what to say is harder than designing the language in which to say if the logical approach to AI carries with it no special insights into a conceptualizations to use. (Logic is often criticized for providing form but not connect. Of course.)

It is important to stress that these conceptualization problems do not arise simply as an undesirable side effect of the use of logic. They must be confronted and resolved by any approach that attempts to represent knowledge of the world by sentence-like, declarative structures. The fact that problems are exposed quite clearly in the coherent framework provided by the located anorous hould be counted as an advantage.

#### 4.2. Sound and unsound inferences

Another important observation concerns the subject of sound inference. Logicists are sometimes criticized for their alleged dependence on deduction. Much human thought, the critics rightly claim, involves leaps of intuition, inductive inference, and other guessing strategies that lie outside the realm of sound inference. There are two thinss that can be said about such criticism.

First, logicists regard sound inference as an important, but not the only, component of reasoning. We must be careful to not the tericumstances under which both sound and unsound inferences might appropriately be used. Recall that the set of sentences a (with which a designer endows a machine) implicitly defines a set of models. Either the designer actually has some subset of these models in mind (as his guess about what the world is) or he is completely unbiased about which of the models might represent the world. If he really is unbiased, nothing other than sound inference would be desired by the designer. Any deduced sentence \(\phi\) had better be logically entailed by \(\phi\) if there are some models of \(\phi\), or a models of \(\phi\), and if the designer wanted the machine to conclude \(\phi\), then he wouldn't have been completely unbiased about which of the models of \(\phi\) retained about which of the models of \(\phi\) represented the world.

If the designer has some subset of the models of  $\Delta$  in mind, and if (for one reason or another) he could not specify this subset by enlarging  $\Delta$ . Hen there are circumstances under which unsound inference might be appropriate. For example, the designer may have some preference order over the models of  $\Delta$ . He may want to focus, for example, on the minimal models (according to the preference order). These minimal models may be better guesses, in the designer's mind, about the real world than would be the other models of  $\Delta$ . In

justification. For example, circumscription is motivated by minimal-model entailment and thus might be called a "principled" inference even though not a sound one.

#### 4.3. Efficiency and semantic attachment to partial models

Earlier, we mentioned that it was fortunate that sound inference techniques existed because it is impossible in most situations to check that all the models of  $\Delta$  were also models of some formula  $\phi$ . This "good fortune" is somewhat illusory however, because finding deductions is in general intractable and for many practical applications unworkshy inefficient. Some people think that the inefficiency of the logical approach disqualifies it from serious consideration as a design strater of intelligent machines.

There are several things to be said about logic and efficiency. First, it seems incontestable that knowledge can be brought to bear on a problem more efficiently when its use is tailored to the special features of that problem. When knowledge is encoded in a fashion that permits many different uses, when knowledge is encoded in a fashion that permits many different uses, when possible ways in which to use it may have to be tried in any given situation, and the resulting search process takes time. A price does have to be paid regenerally, and the logical approach, it seems, pays a runtime cost to save secumentated (seein news.

But even so, much progress has been made in making inference processes on more efficient and practical for large problems. Sicket has developed cell has developed on the most powerful first-order-logic theorem provers [56, 57]. Several resolution relatation systems have been written that are able to solve large, normatic prosoning problems, including some open problems in mathematics [59, 61], are some problems in mathematics [59, 61], and may large-scale all systems depend heavily on preclinet actaculus representations and reasoning methods. Among the more substantial of these are TEAM, a natural language interface to databases [14]; DaRT, a program for equipment design and repair [11]; and KAMP, a program that generates English sentences [3].

A very important technique for achieving efficiency in the context of the logical approach involves augmenting theorem-proving methods with calculations on model-like structures. Often, calculations on models are much more efficient than are inference processes, and we would be well advised to include them as part of a machine's reasoning apparatus.

We mentioned that seldom does a designer make explicit his guess about the world, the intended model. The set of models is implicitly defined by the set of sentences in a.f. Sometimes, however, it is possible to be explicit about at least part of the intended model. That is, we might be able to construct a part of the model as his structure and programs in, say, LISF, For example, we can represent objects as LISF atoms, functions as LISF functions, and relations as LISF predicates, it is such cases we can perform reasoning by computations us explicitly as part of the language [5]). Various LISP ordering predicates combined with appropriate directed-graph data structures are useful for representing transitive binary relations.

#### 4.4. Reification of theories

Sometimes we will want our machines to reason about (father than with) the sentences in its knowledge base. We may, for example, want them to reason about the lengths of sentences or about their complexity. Our conceptualizations will thus have to acknowledge that things called sentences exist method to conferring existence on abstract concepts (such as sentences) is often called refileration.

We might reify whole theories. This will allow us to say, for example, that some \$\Delta\_i\$ is more \$\Delta\_i\$ when confronted with problems of diagnosing bacterial infections. Scientists are used to having different—even contradictory—theories to explain reality: quantum physics. Newtonian mechanics, relativity, wave theories of light, particle theories of light, and so on. Each is useful in certain circumstances. Although scientists search for a uniform, all-embracing, and consistent picture of reality, historically they have had to settle for a collection of somewhat different theories. There is nothing in the logistic suproach that forces us, as machine designers, to use just one more successful at that soul than scientists have been line. Al would be any more successful at that soul than scientists have been.

When theories are reified, metatheory (that is, a theory about theories) can be used to make decisions about which local theory should be used in which circumstances. For example, the metatheory might contain a predicate calculus statement having an intended meaning something like: "When planning a highway route, use the theory that treats roads as edges in a graph (trather than, for example, as solid objects made of asphalt or concrete). Metatheory can also provide information to guide the inference concretes operating over coal theories. For example, we might want to say that when two inferences are possible in some 4,, the inference that results in the most general conclusion control inference to consistent with the logistic sides of proton much knowledge as possible in declarative form (as opposed to "building it in" to the functions mem and cr).

Weyhrauch [58] has pointed out that the process of semantic attachment in a metatheory can be particularly powerful. Commonly, even when no semantic attachments are possible to speed reasoning in a theory, the problem at hand can be dispatched efficiently by appropriate semantic attachment in the metatheory.

Some critics of the logical approach have claimed that since anything can be said in the metatheory, its use would seem to be a retreat to the same ad hoc

tricks used by less disciplined AI researchers. But we think there are generally useful things to say in the metatheory that are not themselves problem dependent. That is, we think that knowledge about how to use knowledge can instell be expressed as context-free, clearlaries sentences. (Lenafs would so uncovered the best examples of generally useful statements about how to use knowledge (22-27ml).

#### 4.5. Other observations

Even though they frequently call the sentences in their knowledge base axioms, logicists are not necessarily committed to represent howowledge by a minimal set of sentences, Indeed, some (or even most) of the sentences in  $\Delta$  may be derivable from others. Since discontinuity of one apendication of how much usable declarative knowledge it has, we agree completely with those who say "In the Knowledge is has, we agree completely with those most how say "In the Knowledge is has, we agree completely with those needed knowledge explicitly in the knowledge base. The use of very large knowledge bases, of course, presupposes efficient retrieval and indexing techniques.

The occasional criticism that logicists depend too heavily on their inference methods and not on the knowledge base must simply result from a misured-standing of the goals of the logical approach. As has already been pointed out, logicists strive to make the inference process as uniform and domain indeed edent as possible and to represent all knowledge (even the knowledge about how too use knowledge deductations).

#### 5. Challenging problems

#### 5.1. Language and the world

Few would deny that intelligent machines must have some kind of characterization or model of the world they inhabit. We have stressed that the main feature of machines designed using the logical approach is that they describe their worlds by language. Is language (any language) adequate to the task? As the writer Edward Abbey observed [1, p. x]

Language makes a mighty loose net with which to go fishing for simple facts, when facts are infinite.

A designer's intuitive ideas about the world are often difficult to capture in a conceptualization that can be described by a finite set of sentences. Usually these intuitive ideas are never complete at the time of design anyway, and the conceptualization expands making it difficult for the sentences to catch up.

John McCarthy humorously illustrates this difficulty by imagining how one

might formulate a sentence that says that under certain conditions a car will start. In English we might say, for example: "If the fuel tank is not emptd and if you turn the ignition key, the car will start." But this simple sentence is not true of a world in which the carbuvetor is broken, or in which the let when (shile not empty) is full of water, or in which the exhaust pipe has a potator stark in it, or ..., Indeed, it seems there might be an infinite number to example the control of publifications that would need to be stated in order to make such a sentence true (in the world the designer has in mind—or order to make such a sentence of course, just what it means for a designer to have a world in mind is problematical; he probably didn't even think of the possibility of the potato in the tailpipe until it was mentioned by someone clse who happened to conceive other has writed.

There seem to be two related problems here. One is that we would like to have and use approximate, simple conceptualizations even when our view the world would permit more accurate and detailed ones. The approximate that the carburetor must be working in order for a cur to start, in many not that the carburetor must be working in order for a cur to start, in many stitutions for which we want to reason about the carburetor and can thus leave it out of our conceptualization. Using theories, (4½) corresponding to approximate conceptualization was successive refinements of them would seem to require the ability to have several such at had and an attention vot to deep them to use which.

Another problem is that even the most detailed and accurate conceptualization may need to be revised as new information becomes available. Theories must be revisable to accomodate the designer's changing view of the world. As the machine interacts with its world, it too will learn new information which will in some cases add to its theory and in other cases require it to be modified.

Science has similar problems. Scientists and engineers knowingly and useful; we penloy approximate theories—such as frictionless models. Furthermore, all of our theories of the physical world are faisifiable, and, indeed, we expect scientific progress to falsify the theories we have and to replace them by others. When we conclude something based on a current physical theory, we admit the conclusion on the theory and modify the theory if the conclusion is contradicted by subsequent facts. Those who would argue that logical languages are inappropriate for representing synthetic or contingent knowledge about the world [39] would also seem to have to doubt the utility of any of the languages that science uses to describe and predict reality. Merely because our conceptualization of the world at any stage of our progress toward that this concentralization is not in the meantime useful.

Some AI researchers have suggested techniques for making useful inferences from an approximate, but not inaccurate, theory. We say that a theory is not inaccurate if its models include the world as conceived by the designer. If a

theory is to be not inaccurate, it is typically impossible or overly cumbersome to include the universal statements needed to derive useful sound conclusions.

We illustrate the difficulty by an example. Suppose that we want our machine to decide whether or not an apple is edible. If  $\Delta$  is to be not inaccurate, we cannot include in it the statement (∀x)Apple(x) ∧ Ripe(x) ⊃ Edible(x) in the face of known exceptions such as Wormv(x) or Rotten(x). (We trust that the reader understands that the mnemonics we use in our examples must be backed up by sufficient additional statements in  $\Delta$  to insure that these mnemonics are constrained to have roughly their intended meanings.) Sunnose we cannot conclude from  $\Delta$  that a given apple, say the apple denoted by apple1 is wormy or rotten; then we may want to conclude (even non-soundly) Edible(Apple1). If later, it is learned (say through sensory inputs) that Botten(Apple1), then we must withdraw the earlier conclusion Edible(Apple1). The original inference is called defeasible because it can be defeated by additional information. Making such inferences involves what is usually called nonmonotonic reasoning. (Ordinary logical reasoning is monotonic in the sense that the set of conclusions that can be drawn from a set of sentences is not diminished if new sentences are added.)

Several researchers have proposed frameworks and techniques for nonmonotonic reasoning. McDermott and Doyle [37, 88] have developed a nonmonotonic logic. Reiter [46] has proposed inference rules (called default rules) whose applicability to a set of sentences 2 depends on what is not in 1 as well as what is. McCarthy [34] advocates the use of circumscription based on minimal models. Ginsberg [13] uses multiple (more than two) truth values to represent various degrees of knowledge. We will briefly describe one of these approaches, that based on minimal models, in order to illustrate what can be done. (See [47] for a thorough survey.)

Consider the general rule (MyQQQ) > PQs. We may know that this rule is not strictly correct without additional qualifications, and thus it cannot be included in a machine's knowledge base inhout making the knowledge base inaccurate. But we may want to use something like this rule to express the fact that "tipically" all Optics statisfying property Q also satisfy p

One way to hedge the rule (to avoid inaccuracy) is to introduce the concept of abnormality, denoted by the relation constant Ab [35]. Then we can say that all objects that are not abnormal and that satisfy property Q also satisfy property P:

$$(\forall x)Q(x) \land \neg Ab(x) \supset P(x)$$
.

Which objects are abnormal and which are not (if we know these facts) can be specified by other sentences in  $\Delta$ . For example we may know that the objects denoted by  $\Delta$  and B are abnormal:  $\Delta b(A) \wedge Ab(B)$ .

The frame problem has been thoroughly treated in the literature. (See [7] and [44] for collections of articles. The latter collection includes several that discuss the problem from the standpoints of philosophy and cognitive psychology.) In attempting to deal with the frame problem in their system called STRIPS. Fikes and Nilsson [10] described the effects of a machine's actions by listing those relations that were changed by the action. They assumed that those relations not mentioned were not changed. Haves [17, 18] introduced the notion of histories in an attempt to define a conceptualization in which the frame problem was less severe. McCarthy [35] and Reiter [46] proposed nonmonotonic reasoning methods for dealing with the frame problem. In the language of circumscription, their approaches assumed minimal changes consistent with the relations that were known to change. However, Hanks and McDermott [15] showed that a straightforward application of circumscription does not produce results strong enough to solve the frame problem. In response. Lifschitz [30] introduced a variant called pointwise circumscription. He also proposed reconceptualization of actions and their effects that permits the use of ordinary circumscription in solving the frame problem and the qualification problem [31]. Shoham [51] proposed an alternative minimization method related to circumscription, called chronological ignorance.

Although the frame problem has been extensively studied, it remains a formidable conceptual obstacle to the development of systems that must act in a changing world. This obstacle is faced by all such systems—even those whose knowledge about the world is represented in procedures. The designer of any intelligent machine must make assumptions (at least implicit ones) about how the world changes in response to the actions of the machine if the machine is to function effectively.

#### 5.3. Uncertain knowledge

When one is uncertain about the world, one cannot specify precisely which relations hold in the world. Nevertheless, one might be able to say that at least one of a set of relations holds. Logical disjunctions permit us to express that kind of uncertain knowledge.

Logical representations (with their binary truth values) would seem to be inadequate for representing other types of uncertaint knowledge. How do we say, for example, "It is likely that it will be sunny in Pasadena on New Year's day"? We could, of course embed probability information itself in the seanence, and this approach and others have been followed. Attempts to fuzz the crisp true false semantics of logical languages have led to an active AI research subspecialty [23, 43, 53].

The approach followed by [41], for example, is to imagine that a probability value is associated with each of a set of possible conceptualizations (interpretations). The machine designer makes this assignment implicitly by composing a



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Reprinted from a special issue of Artificial Intelligence: An International Journal

## FOUNDATIONS OF ARTIFICIAL INTELLIGENCE

edited by David Kirsh

Have the classical methods and ideas of Al outlived their usefulness? Foundations of Artificial Intelligence critically evaluates the fundamental assumptions underpinning the dominant approaches to Al. In these eleven contributions, theorists historically associated with each position identify the basic tenets of their position. They discuss the underlying principles, describe the natural types of problems and tasks in which their approach succeeds, explain where its power comes from, and what its scope and limits are. Theorists generally skeptical of these positions evaluate the effectiveness of the method or approach and explain why it works—to the extent they believe it does—and why it eventually fails.

Among the key questions discussed are, What is a theory in Al? What are Al's central problems? Does intelligence require declarative knowledge and some form of reasoning-like computation? Or can Al be achieved by complex control systems operating without robust concepts? Can central cognition be studied and tested without prior theories of perception and motor control? Or do the two types of theories—central or peripheral—interpenetrate? Can the trajectory of information states created during cognition be described in English or some logico-mathematical version of English? Or will we need a subconceptual level? Is there a single architecture underlying all cognition? Or is intelligence the product of thousands of specialized subsystems?

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A Bradford Book

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0-262-61075-2